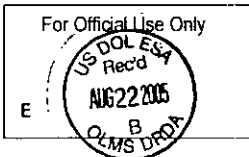


# FORM LM-30

## LABOR ORGANIZATION OFFICER AND EMPLOYEE REPORT

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C 439 or 440.



READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

1. File Number U - <u>12801</u>	2. Fiscal Year Covered From: <u>1</u> / <u>1</u> / <u>2004</u> Through: <u>12</u> / <u>31</u> / <u>2004</u>
3. Name and address of person filing. Name <u>Robert</u> <u>E</u> <u>Richardson</u> P.O. Box, Bldg., Room No., if any Street <u>3457 Montgomery Road</u> City <u>Cincinnati</u> State <u>Ohio</u> ZIP Code + 4 <u>45207-1549</u>	4. Name, file number, and address of labor organization. Name <u>Laborers' International Union of North America</u> Labor Organization File Number <u>000-131</u> P.O. Box, Building and Room Number, if any Street <u>905 16th Street, N.W.</u> City <u>Washington</u> State <u>District of Columbia</u> ZIP Code + 4 <u>20006-1703</u>
5. Position in labor organization. <u>Vice President At-Large</u>	

Enter appropriate data below if, during the past fiscal year, you or your spouse or minor child directly or indirectly had any of the following interests (except as specified in the exclusions set forth in the instructions):

A. Held an interest in, engaged in transactions (including loans) with, or derived income or other economic benefit of monetary value from an employer whose employees your organization represents or is actively seeking to represent.	
6. Name and address of Employer (including trade name, if any). Name Trade Name, if any: P.O. Box, Bldg., Room No., if any Street City State ZIP Code + 4	7.a. Nature of Interest, Transaction, or Income.  7.b. Amount.

### Signature

15. Signature and verification. The undersigned declares, under penalty of Perjury and other applicable penalties of the law, that all of the information submitted in this report (including the information contained in any accompanying documents), has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the section on penalties in the instructions.)

Signed

*Robert E. Richardson*

On

8/15/2005

Date

Telephone Number

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

## 8. Name and address of Business (including trade name, if any).

Name Providence Bank

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street One East 4th Street

City Cincinnati

State Ohio

ZIP Code + 4 45202-3717

## 9. Business deals with:

- ☐ a. Labor Organization
- ☒ b. Trust
- ☐ c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name Laborers' Local 265 Pension Fund

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Suite 225

Street 205 West 4th Street

City Cincinnati

State Ohio

ZIP Code + 4 45202-2628

## 11.a. Nature of such dealing.

Providence Bank provides financial services to the Laborers' Local 265 Pension Fund.

## 11.b. Approximate dollar value of such dealing.

unknown

## 12.a. Nature of interest held or income received.

2004: Football Game, food and refreshments for self and child. Amount unknown, best estimate over \$25.

## 12.b. Amount.

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

## 13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name Lou Ashley, Morgan Stanley

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Atrium 2, 22nd Floor

Street 221 East 4th Street

City Cincinnati

State Ohio

ZIP Code + 4 45202-4124

## 14.a. Nature of payment.

10/4/04: International Freedom Conductor Awards Ceremony Honoring Dorothy Height, Reception/Meal for self and spouse.

13.b. Is the Business an Employer ☒or Consultant ☐

?

## 14.b. Amount of payment.

\$200

Name of Person Filing Robert Richardson

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name Gradison & McDonald

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 580 Walnut Street

City Cincinnati

State Ohio

ZIP Code + 4 45202-3110

9. Business deals with:

☐ a. Labor Organization

☒ b. Trust

☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name Laborers' Local 265 Pension Fund

Trade Name, if any:

P.O. Box, Bldg., Room No., if any Suite 225

Street 205 West 4th Street

City Cincinnati

State Ohio

ZIP Code + 4 45202-2628

11.a. Nature of such dealing.

Gradison & McDonald provides financial services to to the Laborers' Local 265 Pension Fund.

11.b. Approximate dollar value of such dealing.

unknown

12.a. Nature of interest held or income received.

December 2004: Box of candy. Amount unknown, best estimate over \$25.00.

12.b. Amount.

## Part C Continuation Page

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name Lou Ashley, Morgan Stanley

Trade Name, if any:

P.O. Box, Bldg., Room No., if any Atrium 2, 22nd Floor

Street 221 East 4th Street

City Cincinnati

State Ohio ZIP Code + 4 45202-4124

14.a. Nature of payment.

11/11/04: Dinner for self and spouse. Amount unknown, best estimate of total value \$50 to \$80.

13.b. Is the Business an Employer ☒ or Consultant ☐ ?

14.b. Amount of payment.

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name OH Laborers' Dist. Council... Assoc Ins Fund

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 7420 Worthington-Galena Road

City Worthington

State Ohio ZIP Code + 4 43085-1528

14.a. Nature of payment.

2004: International Foundation Conference (2005 event), Conference registration fee and deposit for hotel room.

13.b. Is the Business an Employer ☐ or Consultant ☐ ?

14.b. Amount of payment.

\$1,145

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name Laborers-Employers Coop & Education Trust

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 905 16th Street, N.W.

City Washington

State District of Columbia ZIP Code + 4 20006-1703

14.a. Nature of payment.

1/18/04: National Tri-Fund Conference, Dinner for self and spouse. Amount unknown, best estimate over \$25.

13.b. Is the Business an Employer ☐ or Consultant ☐ ?

14.b. Amount of payment.

**Addenda to Form LM-30: Labor Organization Officer and Employee Report**

**ROBERT E. RICHARDSON**

**File Number U -**

**Laborers' International Union of North America, Organization File Number 000 -131**

**Fiscal Year Covered From: 1/1/04 through 12/31/04**

**Page 1 of 2**

**ADDENDUM A**

I have personal friendships with individuals who may be employed by reportable entities under the Labor-Management Reporting and Disclosure Act, which exist separate and apart from my role as a union officer/employee. In 2004, it is conceivable that I received the benefit of a meal, refreshment or social event from these individuals, which I did not report because I do not have any records of these personal encounters and have no specific recollection of any benefits received.

**ADDENDUM B**

It is conceivable that I received the benefit of a meal, refreshment or social event from an individual who may be employed by a reportable entity under the Labor-Management Reporting and Disclosure Act, which I did not report because I do not have any records of these encounters and have no specific recollection of any benefits received.

**ADDENDUM C**

I am not reporting any benefits that I may have received from a political action committee ("PAC"). My understanding is that PACs report all receipts and disbursements under the Federal Election Campaign Act, and I do not need to report under the Labor-Management Reporting and Disclosure Act.

**ADDENDUM D**

I am not reporting any benefits that I may have received in 2004 from labor organizations affiliated with the Laborers' International Union of North America ("LIUNA"), my employer, or other labor organizations. My understanding of guidance received by the AFL-CIO from the Department of Labor is that benefits received from LIUNA-affiliated labor organizations and other labor organizations are not reportable on the LM-30 report, and I am following that guidance.

**Addenda to Form LM-30: Labor Organization Officer and Employee Report**

**ROBERT E. RICHARDSON**

**File Number U -**

**Laborers' International Union of North America, Organization File Number 000 -131**

**Fiscal Year Covered From: 1/1/04 through 12/31/04**

**Page 2 of 2**

**ADDENDUM E**

It is conceivable that in 2004 sporting event tickets were sent to my office by an individual who may be employed by a reportable entity under the Labor-Management Reporting and Disclosure Act. At no time did I solicit such items, and they were sent to my office without my prior knowledge or authorization. I did not retain possession of any of these items nor did any member of my family. I have no specific recollection of receipt of any such item, nor knowledge as to the value of the item.

Robert E. Richardson  
Vice-President At-Large  
Business Manager & Secretary-Treasurer, Local No. 265  
Laborers' International Union of North America  
3457 Montgomery Road  
Cincinnati, Ohio 45207



August 15, 2005

U.S. Department of Labor  
Employee Standards Administration  
Office of Labor-Management Standards  
200 Constitution Avenue, NW  
Room N-5616  
Washington, D.C. 20210

Re: **Form LM-30 Filing for Robert E. Richardson, U-**  
**Labor Organization File No. 000 - 131**

Dear Sir or Madam:

Enclosed is my Labor Organization Officer and Employee Report LM-30 for the 2004 reporting period. In filing the report, I have reviewed all of my available 2004 records as well as my recollection of benefits I may have received. I have provided my best estimate or an estimated price range for the value of the benefit received where I have no knowledge as to an exact amount. Further, in completing the LM-30 report, I have consulted with legal counsel and have obtained and have relied upon legal advice.

As you know, it was not until March of this year that the Department of Labor initially announced its intention to provide additional guidance to the reporting community concerning the LM-30 report, to seek systemic compliance with these requirements, and to apply standards adopted in 2005 retroactively to 2004 as a base year in that effort. Further, the Department since that time has continued to issue and revise its compliance advice, including guidance regarding related benefit funds. My understanding is that the Department's guidance to date on LM-30 reporting is still changing and remains uncertain in various particulars.

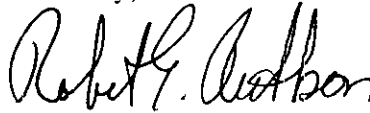
It may be possible that a covered employer or business not listed on my LM-30 report for 2004 provided something of value as to which I have no documentary record nor any present specific recollection. In accordance with your guidance, it is my understanding that, in that circumstance, I am not required to take any further action.

This filing reflects my good faith effort to comply with the LM-30 reporting provisions and in doing so, I have relied upon the advice of legal counsel and the evolving guidance from the Department. The enclosed material represents my best recollection and estimate of all

U.S. Department of Labor  
August 15, 2005  
Page 2

lawfully reported benefits that I received in 2004. By reporting any items on this LM-30 Report, I do not concede that any of the items must be reported under 29 U.S.C. 432, or that I did not receive such items within the provisions of 29 U.S.C. 186(c).

Sincerely,

A handwritten signature in black ink, appearing to read "Robert E. Richardson". The signature is fluid and cursive, with the first name "Robert" being more prominent.

Robert E. Richardson

Enclosure